

## VOTE SUMMARY REPORT

REPORTING PERIOD: 07/01/2022 to 06/30/2023

LOCATION(S): ALL LOCATIONS

INSTITUTION ACCOUNT(S): HORIZONS GLOBAL BBIG  
TECHNOLOGY ETF

### VMware, Inc.

**Meeting Date:** 07/12/2022      **Country:** USA      **Ticker:** VMW  
**Record Date:** 05/16/2022      **Meeting Type:** Annual  
**Primary Security ID:** 928563402

Shares Voted: 587

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1a	Elect Director Nicole Anasenes	Mgmt	For	For	For
1b	Elect Director Marianne Brown	Mgmt	For	For	For
1c	Elect Director Paul Sagan	Mgmt	For	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	Against	Against
3	Ratify PricewaterhouseCoopers LLP as Auditors	Mgmt	For	For	For

### Electronic Arts Inc.

**Meeting Date:** 08/11/2022      **Country:** USA      **Ticker:** EA  
**Record Date:** 06/17/2022      **Meeting Type:** Annual  
**Primary Security ID:** 285512109

Shares Voted: 512

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1a	Elect Director Kofi A. Bruce	Mgmt	For	For	For
1b	Elect Director Rachel A. Gonzalez	Mgmt	For	For	For
1c	Elect Director Jeffrey T. Huber	Mgmt	For	For	For
1d	Elect Director Talbott Roche	Mgmt	For	For	For
1e	Elect Director Richard A. Simonson	Mgmt	For	For	For
1f	Elect Director Luis A. Ubina	Mgmt	For	For	For
1g	Elect Director Heidi J. Ueberroth	Mgmt	For	For	For
1h	Elect Director Andrew Wilson	Mgmt	For	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For	For
3	Ratify KPMG LLP as Auditors	Mgmt	For	For	For
4	Amend Omnibus Stock Plan	Mgmt	For	For	For
5	Reduce Ownership Threshold for Shareholders to Call Special Meetings to 15%	Mgmt	For	For	For

## Electronic Arts Inc.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
6	Submit Severance Agreement (Change-in-Control) to Shareholder Vote	SH	Against	For	For

## Take-Two Interactive Software, Inc.

**Meeting Date:** 09/16/2022      **Country:** USA      **Ticker:** TTWO  
**Record Date:** 07/25/2022      **Meeting Type:** Annual  
**Primary Security ID:** 874054109

Shares Voted: 463

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1a	Elect Director Strauss Zelnick	Mgmt	For	For	For
1b	Elect Director Michael Dornemann	Mgmt	For	For	For
1c	Elect Director J Moses	Mgmt	For	For	For
1d	Elect Director Michael Sheresky	Mgmt	For	For	For
1e	Elect Director LaVerne Srinivasan	Mgmt	For	For	For
1f	Elect Director Susan Tolson	Mgmt	For	For	For
1g	Elect Director Paul Viera	Mgmt	For	For	For
1h	Elect Director Roland Hernandez	Mgmt	For	For	For
1i	Elect Director William "Bing" Gordon	Mgmt	For	For	For
1j	Elect Director Ellen Siminoff	Mgmt	For	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	Against	Against
3	Ratify Ernst & Young LLP as Auditors	Mgmt	For	For	For

## QuantumScape Corporation

**Meeting Date:** 09/20/2022      **Country:** USA      **Ticker:** QS  
**Record Date:** 07/28/2022      **Meeting Type:** Annual  
**Primary Security ID:** 74767V109

Shares Voted: 5,360

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1.1	Elect Director Jagdeep Singh	Mgmt	For	Withhold	Withhold
1.2	Elect Director Frank Blome	Mgmt	For	Withhold	Withhold
1.3	Elect Director Brad Buss	Mgmt	For	Withhold	Withhold
1.4	Elect Director Jeneanne Hanley	Mgmt	For	For	For
1.5	Elect Director Susan Huppertz	Mgmt	For	For	For

## QuantumScape Corporation

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1.6	Elect Director Jurgen Leohold	Mgmt	For	Withhold	Withhold
1.7	Elect Director Gena Lovett	Mgmt	For	For	For
1.8	Elect Director Fritz Prinz	Mgmt	For	Withhold	Withhold
1.9	Elect Director Dipender Saluja	Mgmt	For	Withhold	Withhold
1.10	Elect Director JB Straubel	Mgmt	For	Withhold	Withhold
1.11	Elect Director Jens Wiese	Mgmt	For	Withhold	Withhold
2	Ratify Ernst & Young LLP as Auditors	Mgmt	For	For	For
3	Advisory Vote on Say on Pay Frequency	Mgmt	One Year	One Year	One Year
4	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	Against	Against

## Showa Denko K.K.

**Meeting Date:** 09/29/2022      **Country:** Japan      **Ticker:** 4004  
**Record Date:** 06/30/2022      **Meeting Type:** Special  
**Primary Security ID:** J75046136

Shares Voted: 3,308

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Approve Adoption of Holding Company Structure and Transfer of Operations to Wholly Owned Subsidiary	Mgmt	For	For	For
2	Amend Articles to Change Company Name - Amend Business Lines - Amend Provisions on Number of Directors - Amend Provisions on Number of Statutory Auditors	Mgmt	For	For	For

## VMware, Inc.

**Meeting Date:** 11/04/2022      **Country:** USA      **Ticker:** VMW  
**Record Date:** 09/30/2022      **Meeting Type:** Special  
**Primary Security ID:** 928563402

Shares Voted: 553

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Approve Merger Agreement	Mgmt	For	For	For
2	Advisory Vote on Golden Parachutes	Mgmt	For	For	For
3	Adjourn Meeting	Mgmt	For	For	For
4	Amend Certificate of Incorporation to Eliminate Personal Liability of Directors	Mgmt	For	For	For

## Microsoft Corporation

Meeting Date: 12/13/2022

Country: USA

Ticker: MSFT

Record Date: 10/12/2022

Meeting Type: Annual

Primary Security ID: 594918104

Shares Voted: 241

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1.1	Elect Director Reid G. Hoffman	Mgmt	For	For	For
1.2	Elect Director Hugh F. Johnston	Mgmt	For	For	For
1.3	Elect Director Teri L. List	Mgmt	For	For	For
1.4	Elect Director Satya Nadella	Mgmt	For	For	For
1.5	Elect Director Sandra E. Peterson	Mgmt	For	For	For
1.6	Elect Director Penny S. Pritzker	Mgmt	For	For	For
1.7	Elect Director Carlos A. Rodriguez	Mgmt	For	For	For
1.8	Elect Director Charles W. Scharf	Mgmt	For	For	For
1.9	Elect Director John W. Stanton	Mgmt	For	For	For
1.10	Elect Director John W. Thompson	Mgmt	For	For	For
1.11	Elect Director Emma N. Walmsley	Mgmt	For	For	For
1.12	Elect Director Padmasree Warrior	Mgmt	For	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For	For
3	Ratify Deloitte & Touche LLP as Auditors	Mgmt	For	For	For
4	Report on Cost/Benefit Analysis of Diversity and Inclusion	SH	Against	Against	Against
5	Report on Hiring of Persons with Arrest or Incarceration Records	SH	Against	Against	Against
6	Assess and Report on the Company's Retirement Funds' Management of Systemic Climate Risk	SH	Against	Against	Against
7	Report on Government Use of Microsoft Technology	SH	Against	Against	Against
8	Report on Development of Products for Military	SH	Against	Against	Against
9	Report on Tax Transparency	SH	Against	Against	Against

## Cabot Corporation

Meeting Date: 03/09/2023

Country: USA

Ticker: CBT

Record Date: 01/17/2023

Meeting Type: Annual

Primary Security ID: 127055101

# Cabot Corporation

Shares Voted: 791

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1.1	Elect Director Juan Enriquez	Mgmt	For	For	For
1.2	Elect Director Sean D. Keohane	Mgmt	For	For	For
1.3	Elect Director William C. Kirby	Mgmt	For	For	For
1.4	Elect Director Raffiq Nathoo	Mgmt	For	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For	For
3	Advisory Vote on Say on Pay Frequency	Mgmt	One Year	One Year	One Year
4	Ratify Deloitte & Touche LLP as Auditors	Mgmt	For	For	For

# Apple Inc.

Meeting Date: 03/10/2023

Country: USA

Ticker: AAPL

Record Date: 01/09/2023

Meeting Type: Annual

Primary Security ID: 037833100

Shares Voted: 370

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1a	Elect Director James Bell	Mgmt	For	For	For
1b	Elect Director Tim Cook	Mgmt	For	For	For
1c	Elect Director Al Gore	Mgmt	For	For	For
1d	Elect Director Alex Gorsky	Mgmt	For	For	For
1e	Elect Director Andrea Jung	Mgmt	For	For	For
1f	Elect Director Art Levinson	Mgmt	For	For	For
1g	Elect Director Monica Lozano	Mgmt	For	For	For
1h	Elect Director Ron Sugar	Mgmt	For	For	For
1i	Elect Director Sue Wagner	Mgmt	For	For	For
2	Ratify Ernst & Young LLP as Auditors	Mgmt	For	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For	For
4	Advisory Vote on Say on Pay Frequency	Mgmt	One Year	One Year	One Year
5	Report on Civil Rights and Non-Discrimination Audit	SH	Against	Against	Against
6	Report on Operations in Communist China	SH	Against	Against	Against

## Apple Inc.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
7	Adopt a Policy Establishing an Engagement Process with Proponents to Shareholder Proposals	SH	Against	Against	Against
8	Report on Median Gender/Racial Pay Gap	SH	Against	For	For
9	Amend Proxy Access Right	SH	Against	For	For

## Samsung SDI Co., Ltd.

**Meeting Date:** 03/15/2023      **Country:** South Korea      **Ticker:** 006400  
**Record Date:** 12/31/2022      **Meeting Type:** Annual  
**Primary Security ID:** Y74866107

Shares Voted: 114

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Approve Financial Statements and Allocation of Income	Mgmt	For	For	For
2.1	Elect Jeon Young-hyeon as Inside Director	Mgmt	For	For	For
2.2	Elect Kwon Oh-gyeong as Outside Director	Mgmt	For	For	For
2.3	Elect Kim Deok-hyeon as Outside Director	Mgmt	For	For	For
2.4	Elect Lee Mi-gyeong as Outside Director	Mgmt	For	For	For
3.1	Elect Kwon Oh-gyeong as a Member of Audit Committee	Mgmt	For	For	For
3.2	Elect Lee Mi-gyeong as a Member of Audit Committee	Mgmt	For	For	For
4	Elect Choi Won-wook as Outside Director to Serve as an Audit Committee Member	Mgmt	For	For	For
5	Approve Total Remuneration of Inside Directors and Outside Directors	Mgmt	For	For	For

## POSCO Chemical Co., Ltd.

**Meeting Date:** 03/20/2023      **Country:** South Korea      **Ticker:** 003670  
**Record Date:** 12/31/2022      **Meeting Type:** Annual  
**Primary Security ID:** Y70754109

Shares Voted: 417

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Approve Financial Statements and Allocation of Income	Mgmt	For	For	For

## POSCO Chemical Co., Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
2.1	Amend Articles of Incorporation (Company Name Change)	Mgmt	For	For	For
2.2	Amend Articles of Incorporation (Record Date)	Mgmt	For	For	For
3.1	Elect Kim Jun-hyeong as Inside Director	Mgmt	For	For	For
3.2	Elect Yoon Deok-il as Inside Director	Mgmt	For	For	For
3.3	Elect Kim Jin-chul as Inside Director	Mgmt	For	For	For
3.4	Elect Yoo Byeong-ock as Non-Independent Non-Executive Director	Mgmt	For	For	For
3.5	Elect Lee Woong-beom as Outside Director	Mgmt	For	For	For
3.6	Elect Kim Won-yong as Outside Director	Mgmt	For	For	For
4	Elect Lee Woong-beom as a Member of Audit Committee	Mgmt	For	For	For
5	Approve Total Remuneration of Inside Directors and Outside Directors	Mgmt	For	For	For

## Kakao Games Corp.

**Meeting Date:** 03/27/2023      **Country:** South Korea      **Ticker:** 293490  
**Record Date:** 12/31/2022      **Meeting Type:** Annual  
**Primary Security ID:** Y451A1104

Shares Voted: 2,134

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Approve Financial Statements and Allocation of Income	Mgmt	For	For	For
2.1	Elect Lim Seung-yeon as Outside Director	Mgmt	For	For	For
2.2	Elect Robbins Seung-hun as Outside Director	Mgmt	For	For	For
3	Elect Lim Seung-yeon as a Member of Audit Committee	Mgmt	For	For	For
4	Approve Total Remuneration of Inside Directors and Outside Directors	Mgmt	For	For	For
5	Approve Terms of Retirement Pay	Mgmt	For	For	For
6	Approve Stock Option Grants	Mgmt	For	For	For

## LG Chem Ltd.

**Meeting Date:** 03/28/2023      **Country:** South Korea      **Ticker:** 051910  
**Record Date:** 12/31/2022      **Meeting Type:** Annual  
**Primary Security ID:** Y52758102

## LG Chem Ltd.

Shares Voted: 135

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Approve Financial Statements and Allocation of Income	Mgmt	For	For	For
2	Elect Cheon Gyeong-hun as Outside Director	Mgmt	For	For	For
3	Elect Cheon Gyeong-hun as a Member of Audit Committee	Mgmt	For	For	For
4	Approve Total Remuneration of Inside Directors and Outside Directors	Mgmt	For	For	For

## Ecopro BM Co., Ltd.

Meeting Date: 03/29/2023

Country: South Korea

Ticker: 247540

Record Date: 12/31/2022

Meeting Type: Annual

Primary Security ID: Y2243T102

Shares Voted: 724

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Approve Financial Statements and Allocation of Income	Mgmt	For	For	For
2	Elect Kim Soon-ju as Non-Independent Non-Executive Director	Mgmt	For	Against	Against
3	Approve Total Remuneration of Inside Directors and Outside Directors	Mgmt	For	For	For
4	Authorize Board to Fix Remuneration of Internal Auditor(s)	Mgmt	For	For	For

## Resonac Holdings Corp.

Meeting Date: 03/30/2023

Country: Japan

Ticker: 4004

Record Date: 12/31/2022

Meeting Type: Annual

Primary Security ID: J75046136

Shares Voted: 3,960

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Approve Allocation of Income, with a Final Dividend of JPY 65	Mgmt	For	For	For
2.1	Elect Director Morikawa, Kohei	Mgmt	For	For	For
2.2	Elect Director Takahashi, Hidehito	Mgmt	For	For	For
2.3	Elect Director Kamiguchi, Keiichi	Mgmt	For	For	For
2.4	Elect Director Somemiya, Hideki	Mgmt	For	For	For



## Resonac Holdings Corp.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
2.5	Elect Director Maoka, Tomomitsu	Mgmt	For	For	For
2.6	Elect Director Nishioka, Kiyoshi	Mgmt	For	For	For
2.7	Elect Director Isshiki, Kozo	Mgmt	For	For	For
2.8	Elect Director Morikawa, Noriko	Mgmt	For	For	For
2.9	Elect Director Tsuneishi, Tetsuo	Mgmt	For	For	For
3	Appoint Statutory Auditor Kato, Toshiharu	Mgmt	For	For	For

## Adobe Inc.

Meeting Date: 04/20/2023

Country: USA

Ticker: ADBE

Record Date: 02/21/2023

Meeting Type: Annual

Primary Security ID: 00724F101

Shares Voted: 178

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1a	Elect Director Amy Banse	Mgmt	For	For	For
1b	Elect Director Brett Biggs	Mgmt	For	For	For
1c	Elect Director Melanie Boulden	Mgmt	For	For	For
1d	Elect Director Frank Calderoni	Mgmt	For	For	For
1e	Elect Director Laura Desmond	Mgmt	For	For	For
1f	Elect Director Shantanu Narayen	Mgmt	For	For	For
1g	Elect Director Spencer Neumann	Mgmt	For	For	For
1h	Elect Director Kathleen Oberg	Mgmt	For	For	For
1i	Elect Director Dheeraj Pandey	Mgmt	For	For	For
1j	Elect Director David Ricks	Mgmt	For	For	For
1k	Elect Director Daniel Rosensweig	Mgmt	For	For	For
1l	Elect Director John Warnock	Mgmt	For	For	For
2	Amend Omnibus Stock Plan	Mgmt	For	For	For
3	Ratify KPMG LLP as Auditors	Mgmt	For	For	For
4	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For	For
5	Advisory Vote on Say on Pay Frequency	Mgmt	One Year	One Year	One Year
6	Report on Hiring of Persons with Arrest or Incarceration Records	SH	Against	Against	Against

## Livent Corporation

Meeting Date: 04/25/2023

Country: USA

Ticker: LTHM

Record Date: 02/27/2023

Meeting Type: Annual

Primary Security ID: 53814L108

Shares Voted: 2,522

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1a	Elect Director Paul W. Graves	Mgmt	For	For	For
1b	Elect Director Andrea E. Utecht	Mgmt	For	For	For
1c	Elect Director Christina Lampe-Onnerud	Mgmt	For	For	For
2	Ratify KPMG LLP as Auditors	Mgmt	For	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For	For
4	Declassify the Board of Directors	Mgmt	For	For	For
5	Eliminate Supermajority Vote Requirement	Mgmt	For	For	For

## Pfizer Inc.

Meeting Date: 04/27/2023

Country: USA

Ticker: PFE

Record Date: 03/01/2023

Meeting Type: Annual

Primary Security ID: 717081103

Shares Voted: 1,251

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1.1	Elect Director Ronald E. Blaylock	Mgmt	For	For	For
1.2	Elect Director Albert Bourla	Mgmt	For	For	For
1.3	Elect Director Susan Desmond-Hellmann	Mgmt	For	For	For
1.4	Elect Director Joseph J. Echevarria	Mgmt	For	For	For
1.5	Elect Director Scott Gottlieb	Mgmt	For	For	For
1.6	Elect Director Helen H. Hobbs	Mgmt	For	For	For
1.7	Elect Director Susan Hockfield	Mgmt	For	For	For
1.8	Elect Director Dan R. Littman	Mgmt	For	For	For
1.9	Elect Director Shantanu Narayen	Mgmt	For	For	For
1.10	Elect Director Suzanne Nora Johnson	Mgmt	For	For	For
1.11	Elect Director James Quincey	Mgmt	For	For	For
1.12	Elect Director James C. Smith	Mgmt	For	For	For
2	Ratify KPMG LLP as Auditors	Mgmt	For	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For	For

## Pfizer Inc.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
4	Advisory Vote on Say on Pay Frequency	Mgmt	One Year	One Year	One Year
5	Submit Severance Agreement (Change-in-Control) to Shareholder Vote	SH	Against	Against	Against
6	Require Independent Board Chair	SH	Against	Against	Against
7	Report on Feasibility of Intellectual Property Transfer to Boost Covid-19 Vaccine Production	SH	Against	Against	Against
8	Report on Impact of Extended Patent Exclusivities on Product Access	SH	Against	For	For
9	Report on Political Expenditures Congruence	SH	Against	Against	Against

## Albemarle Corporation

**Meeting Date:** 05/02/2023      **Country:** USA      **Ticker:** ALB  
**Record Date:** 03/07/2023      **Meeting Type:** Annual  
**Primary Security ID:** 012653101

Shares Voted: 246

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1a	Elect Director M. Lauren Brlas	Mgmt	For	For	For
1b	Elect Director Ralf H. Cramer	Mgmt	For	For	For
1c	Elect Director J. Kent Masters, Jr.	Mgmt	For	For	For
1d	Elect Director Glenda J. Minor	Mgmt	For	For	For
1e	Elect Director James J. O'Brien	Mgmt	For	For	For
1f	Elect Director Diarmuid B. O'Connell	Mgmt	For	For	For
1g	Elect Director Dean L. Seavers	Mgmt	For	For	For
1h	Elect Director Gerald A. Steiner	Mgmt	For	For	For
1i	Elect Director Holly A. Van Deursen	Mgmt	For	For	For
1j	Elect Director Alejandro D. Wolff	Mgmt	For	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For	For
3	Advisory Vote on Say on Pay Frequency	Mgmt	One Year	One Year	One Year
4	Ratify PricewaterhouseCoopers LLP as Auditors	Mgmt	For	For	For
5	Approve Non-Employee Director Restricted Stock Plan	Mgmt	For	For	For

## Moderna, Inc.

Meeting Date: 05/03/2023

Country: USA

Ticker: MRNA

Record Date: 03/08/2023

Meeting Type: Annual

Primary Security ID: 60770K107

Shares Voted: 314

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1.1	Elect Director Stephen Berenson	Mgmt	For	For	For
1.2	Elect Director Sandra Horning	Mgmt	For	For	For
1.3	Elect Director Paul Sagan	Mgmt	For	Withhold	Withhold
2	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For	For
3	Ratify Ernst & Young LLP as Auditors	Mgmt	For	For	For
4	Report on Feasibility of Technology Transfer to Boost Covid-19 Vaccine Production	SH	Against	Against	Against

## SAP SE

Meeting Date: 05/11/2023

Country: Germany

Ticker: SAP

Record Date: 03/28/2023

Meeting Type: Annual

Primary Security ID: D66992104

Shares Voted: 522

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Meeting for ADR Holders	Mgmt			
1	Receive Financial Statements and Statutory Reports for Fiscal Year 2022 (Non-Voting)	Mgmt			
2	Approve Allocation of Income and Dividends of EUR 2.05 per Share	Mgmt	For	For	For
3	Approve Discharge of Management Board for Fiscal Year 2022	Mgmt	For	For	For
4	Approve Discharge of Supervisory Board for Fiscal Year 2022	Mgmt	For	For	For
5	Approve Remuneration Report	Mgmt	For	For	For
6	Authorize Share Repurchase Program and Reissuance or Cancellation of Repurchased Shares	Mgmt	For	For	For
7	Authorize Use of Financial Derivatives when Repurchasing Shares	Mgmt	For	For	For
8.a	Elect Jennifer Xin-Zhe Li to the Supervisory Board	Mgmt	For	For	For
8.b	Elect Qi Lu to the Supervisory Board	Mgmt	For	For	For
8.c	Elect Punit Renjen to the Supervisory Board	Mgmt	For	For	For

## SAP SE

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
9	Approve Remuneration Policy for the Management Board	Mgmt	For	For	For
10	Approve Remuneration Policy for the Supervisory Board	Mgmt	For	For	For
11.a	Approve Virtual-Only Shareholder Meetings Until 2025	Mgmt	For	For	For
11.b	Amend Articles Re: Participation of Supervisory Board Members in the Virtual Annual General Meeting by Means of Audio and Video Transmission	Mgmt	For	For	For

## Vertex Pharmaceuticals Incorporated

**Meeting Date:** 05/17/2023      **Country:** USA      **Ticker:** VRTX  
**Record Date:** 03/23/2023      **Meeting Type:** Annual  
**Primary Security ID:** 92532F100

Shares Voted: 199

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1.1	Elect Director Sangeeta Bhatia	Mgmt	For	For	For
1.2	Elect Director Lloyd Carney	Mgmt	For	For	For
1.3	Elect Director Alan Garber	Mgmt	For	For	For
1.4	Elect Director Terrence Kearney	Mgmt	For	For	For
1.5	Elect Director Reshma Kewalramani	Mgmt	For	For	For
1.6	Elect Director Jeffrey Leiden	Mgmt	For	For	For
1.7	Elect Director Diana McKenzie	Mgmt	For	For	For
1.8	Elect Director Bruce Sachs	Mgmt	For	For	For
1.9	Elect Director Suketu Upadhyay	Mgmt	For	For	For
2	Ratify Ernst & Young LLP as Auditors	Mgmt	For	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For	For
4	Advisory Vote on Say on Pay Frequency	Mgmt	One Year	One Year	One Year

## Amazon.com, Inc.

**Meeting Date:** 05/24/2023      **Country:** USA      **Ticker:** AMZN  
**Record Date:** 03/30/2023      **Meeting Type:** Annual  
**Primary Security ID:** 023135106

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1a	Elect Director Jeffrey P. Bezos	Mgmt	For	For	For
1b	Elect Director Andrew R. Jassy	Mgmt	For	For	For
1c	Elect Director Keith B. Alexander	Mgmt	For	For	For
1d	Elect Director Edith W. Cooper	Mgmt	For	Against	Against
1e	Elect Director Jamie S. Gorelick	Mgmt	For	For	For
1f	Elect Director Daniel P. Huttenlocher	Mgmt	For	Against	Against
1g	Elect Director Judith A. McGrath	Mgmt	For	Against	Against
1h	Elect Director Indra K. Nooyi	Mgmt	For	For	For
1i	Elect Director Jonathan J. Rubinstein	Mgmt	For	For	For
1j	Elect Director Patricia Q. Stonesifer	Mgmt	For	For	For
1k	Elect Director Wendell P. Weeks	Mgmt	For	For	For
2	Ratify Ratification of Ernst & Young LLP as Auditors	Mgmt	For	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	Against	Against
4	Advisory Vote on Say on Pay Frequency	Mgmt	One Year	One Year	One Year
5	Amend Omnibus Stock Plan	Mgmt	For	For	For
6	Report on Climate Risk in Retirement Plan Options	SH	Against	Against	Against
7	Report on Customer Due Diligence	SH	Against	For	For
8	Revise Transparency Report to Provide Greater Disclosure on Government Requests	SH	Against	Against	Against
9	Report on Government Take Down Requests	SH	Against	Against	Against
10	Report on Impact of Climate Change Strategy Consistent With Just Transition Guidelines	SH	Against	For	For
11	Publish a Tax Transparency Report	SH	Against	Against	Against
12	Report on Climate Lobbying	SH	Against	For	For
13	Report on Median and Adjusted Gender/Racial Pay Gaps	SH	Against	For	For
14	Report on Cost/Benefit Analysis of Diversity, Equity and Inclusion Programs	SH	Against	Against	Against
15	Amend Bylaws to Require Shareholder Approval of Certain Provisions Related to Director Nominations by Shareholders	SH	Against	Against	Against

## Amazon.com, Inc.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
16	Commission Third Party Assessment on Company's Commitment to Freedom of Association and Collective Bargaining	SH	Against	For	For
17	Consider Pay Disparity Between Executives and Other Employees	SH	Against	Against	Against
18	Report on Animal Welfare Standards	SH	Against	Against	Against
19	Establish a Public Policy Committee	SH	Against	Against	Against
20	Adopt a Policy to Include Non-Management Employees as Prospective Director Candidates	SH	Against	For	For
21	Commission a Third Party Audit on Working Conditions	SH	Against	For	For
22	Report on Efforts to Reduce Plastic Use	SH	Against	For	For
23	Commission Third Party Study and Report on Risks Associated with Use of Rekognition	SH	Against	For	For

## Thermo Fisher Scientific Inc.

Meeting Date: 05/24/2023

Country: USA

Ticker: TMO

Record Date: 03/27/2023

Meeting Type: Annual

Primary Security ID: 883556102

Shares Voted: 103

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1a	Elect Director Marc N. Casper	Mgmt	For	For	For
1b	Elect Director Nelson J. Chai	Mgmt	For	For	For
1c	Elect Director Ruby R. Chandy	Mgmt	For	For	For
1d	Elect Director C. Martin Harris	Mgmt	For	For	For
1e	Elect Director Tyler Jacks	Mgmt	For	For	For
1f	Elect Director R. Alexandra Keith	Mgmt	For	For	For
1g	Elect Director James C. Mullen	Mgmt	For	For	For
1h	Elect Director Lars R. Sorensen	Mgmt	For	For	For
1i	Elect Director Debora L. Spar	Mgmt	For	For	For
1j	Elect Director Scott M. Sperling	Mgmt	For	For	For
1k	Elect Director Dion J. Weisler	Mgmt	For	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For	For
3	Advisory Vote on Say on Pay Frequency	Mgmt	One Year	One Year	One Year

## Thermo Fisher Scientific Inc.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
4	Ratify PricewaterhouseCoopers LLP as Auditors	Mgmt	For	For	For
5	Amend Omnibus Stock Plan	Mgmt	For	For	For
6	Approve Qualified Employee Stock Purchase Plan	Mgmt	For	For	For

## BioNTech SE

**Meeting Date:** 05/25/2023      **Country:** Germany      **Ticker:** BNTX  
**Record Date:** 04/17/2023      **Meeting Type:** Annual  
**Primary Security ID:** 09075V102

Shares Voted: 419

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
	Meeting for ADR Holders	Mgmt			
1	Receive Financial Statements and Statutory Reports for Fiscal Year 2022 (Non-Voting)	Mgmt			
2	Approve Allocation of Income and Dividends of EUR 2.00 per Share for Fiscal Year 2021	Mgmt	For	For	For
3	Approve Allocation of Income and Omission of Dividends for Fiscal Year 2022	Mgmt	For	For	For
4	Approve Discharge of Management Board for Fiscal Year 2022	Mgmt	For	For	For
5	Approve Discharge of Supervisory Board for Fiscal Year 2022	Mgmt	For	For	For
6	Ratify Ernst & Young GmbH as Auditors for Fiscal Year 2023	Mgmt	For	For	For
7	Approve Remuneration Report	Mgmt	For	Against	Against
8.1	Elect Nicola Blackwood to the Supervisory Board	Mgmt	For	For	For
8.2	Elect Ulrich Wandschneider to the Supervisory Board	Mgmt	For	For	For
8.3	Elect Michael Motschmann to the Supervisory Board	Mgmt	For	For	For
9	Approve Virtual-Only Shareholder Meetings Until 2026	Mgmt	For	For	For
10	Amend Articles Re: Participation of Supervisory Board Members in the Annual General Meeting by Means of Audio and Video Transmission	Mgmt	For	For	For
11.1	Approve Affiliation Agreement with BioNTech Idar-Oberstein Services GmbH	Mgmt	For	For	For
11.2	Approve Affiliation Agreement with NT Security and Services GmbH	Mgmt	For	For	For



## BioNTech SE

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
11.3	Approve Affiliation Agreement with BioNTech BioNTainer Holding GmbH	Mgmt	For	For	For
11.4	Approve Affiliation Agreement with BioNTech Individualized mRNA Manufacturing GmbH	Mgmt	For	For	For

## Illumina, Inc.

Meeting Date: 05/25/2023

Country: USA

Ticker: ILMN

Record Date: 04/03/2023

Meeting Type: Proxy Contest

Primary Security ID: 452327109

Shares Voted: 300

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
	Management Universal Proxy (White Proxy Card)	Mgmt			
	From the Combined List of Management & Dissident Nominees - Elect 9 Directors	Mgmt			
1A	Elect Management Nominee Director Frances Arnold	Mgmt	For	Do Not Vote	Do Not Vote
1B	Elect Management Nominee Director Francis A. deSouza	Mgmt	For	Do Not Vote	Do Not Vote
1C	Elect Management Nominee Director Caroline D. Dorsa	Mgmt	For	Do Not Vote	Do Not Vote
1D	Elect Management Nominee Director Robert S. Epstein	Mgmt	For	Do Not Vote	Do Not Vote
1E	Elect Management Nominee Director Scott Gottlieb	Mgmt	For	Do Not Vote	Do Not Vote
1F	Elect Management Nominee Director Gary S. Guthart	Mgmt	For	Do Not Vote	Do Not Vote
1G	Elect Management Nominee Director Philip W. Schiller	Mgmt	For	Do Not Vote	Do Not Vote
1H	Elect Management Nominee Director Susan E. Siegel	Mgmt	For	Do Not Vote	Do Not Vote
1I	Elect Management Nominee Director John W. Thompson	Mgmt	For	Do Not Vote	Do Not Vote
1J	Elect Dissident Nominee Director Vincent J. Intrieri	SH	Against	Do Not Vote	Do Not Vote
1K	Elect Dissident Nominee Director Jesse A. Lynn	SH	Against	Do Not Vote	Do Not Vote
1L	Elect Dissident Nominee Director Andrew J. Teno	SH	Against	Do Not Vote	Do Not Vote
2	Ratify Ernst & Young LLP as Auditors	Mgmt	For	Do Not Vote	Do Not Vote
3	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	Do Not Vote	Do Not Vote

## illumina, Inc.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
4	Advisory Vote on Say on Pay Frequency	Mgmt	One Year	Do Not Vote	Do Not Vote
5	Amend Omnibus Stock Plan	Mgmt	For	Do Not Vote	Do Not Vote
	Dissident Universal Proxy (Gold Proxy Card)	Mgmt			
	From the Combined List of Management & Dissident Nominees - Elect 9 Directors	Mgmt			
1.1	Elect Dissident Nominee Director Vincent J. Intrieri	SH	For	Withhold	Withhold
1.2	Elect Dissident Nominee Director Jesse A. Lynn	SH	For	Withhold	Withhold
1.3	Elect Dissident Nominee Director Andrew J. Teno	SH	For	For	For
1.4	Elect Management Nominee Director Frances Arnold	Mgmt	None	For	For
1.5	Elect Management Nominee Director Caroline D. Dorsa	Mgmt	None	For	For
1.6	Elect Management Nominee Director Scott Gottlieb	Mgmt	None	For	For
1.7	Elect Management Nominee Director Gary S. Guthart	Mgmt	None	For	For
1.8	Elect Management Nominee Director Philip W. Schiller	Mgmt	None	For	For
1.9	Elect Management Nominee Director Susan E. Siegel	Mgmt	None	For	For
1.10	Elect Management Nominee Director Francis A. deSouza	Mgmt	Against	For	For
1.11	Elect Management Nominee Director Robert S. Epstein	Mgmt	Against	For	For
1.12	Elect Management Nominee Director John W. Thompson	Mgmt	Against	Withhold	Withhold
2	Ratify Ernst & Young LLP as Auditors	Mgmt	For	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	Against	Against	Against
4	Advisory Vote on Say on Pay Frequency	Mgmt	One Year	One Year	One Year
5	Amend Omnibus Stock Plan	Mgmt	None	For	For

## Meta Platforms, Inc.

**Meeting Date:** 05/31/2023

**Country:** USA

**Ticker:** META

**Record Date:** 04/06/2023

**Meeting Type:** Annual

**Primary Security ID:** 30303M102

## Meta Platforms, Inc.

Shares Voted: 441

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1.1	Elect Director Peggy Alford	Mgmt	For	Withhold	Withhold
1.2	Elect Director Marc L. Andreessen	Mgmt	For	Withhold	Withhold
1.3	Elect Director Andrew W. Houston	Mgmt	For	Withhold	Withhold
1.4	Elect Director Nancy Killefer	Mgmt	For	For	For
1.5	Elect Director Robert M. Kimmitt	Mgmt	For	For	For
1.6	Elect Director Sheryl K. Sandberg	Mgmt	For	For	For
1.7	Elect Director Tracey T. Travis	Mgmt	For	For	For
1.8	Elect Director Tony Xu	Mgmt	For	Withhold	Withhold
1.9	Elect Director Mark Zuckerberg	Mgmt	For	Withhold	Withhold
2	Ratify Ernst & Young LLP as Auditors	Mgmt	For	For	For
3	Report on Government Take Down Requests	SH	Against	Against	Against
4	Approve Recapitalization Plan for all Stock to Have One-vote per Share	SH	Against	For	For
5	Report on Human Rights Impact Assessment of Targeted Advertising	SH	Against	For	For
6	Report on Lobbying Payments and Policy	SH	Against	For	For
7	Report on Allegations of Political Entanglement and Content Management Biases in India	SH	Against	Against	Against
8	Report on Framework to Assess Company Lobbying Alignment with Climate Goals	SH	Against	For	For
9	Report on Data Privacy regarding Reproductive Healthcare	SH	Against	For	For
10	Report on Enforcement of Community Standards and User Content	SH	Against	Against	Against
11	Report on Child Safety and Harm Reduction	SH	Against	For	For
12	Report on Executive Pay Calibration to Externalized Costs	SH	Against	For	For
13	Commission Independent Review of Audit & Risk Oversight Committee	SH	Against	Against	Against

## Alphabet Inc.

Meeting Date: 06/02/2023

Country: USA

Ticker: GOOGL

Record Date: 04/04/2023

Meeting Type: Annual

Primary Security ID: 02079K305

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1a	Elect Director Larry Page	Mgmt	For	For	For
1b	Elect Director Sergey Brin	Mgmt	For	For	For
1c	Elect Director Sundar Pichai	Mgmt	For	For	For
1d	Elect Director John L. Hennessy	Mgmt	For	Against	Against
1e	Elect Director Frances H. Arnold	Mgmt	For	Against	Against
1f	Elect Director R. Martin "Marty" Chavez	Mgmt	For	For	For
1g	Elect Director L. John Doerr	Mgmt	For	For	For
1h	Elect Director Roger W. Ferguson, Jr.	Mgmt	For	For	For
1i	Elect Director Ann Mather	Mgmt	For	For	For
1j	Elect Director K. Ram Shriram	Mgmt	For	For	For
1k	Elect Director Robin L. Washington	Mgmt	For	For	For
2	Ratify Ernst & Young LLP as Auditors	Mgmt	For	For	For
3	Amend Omnibus Stock Plan	Mgmt	For	Against	Against
4	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	Against	Against
5	Advisory Vote on Say on Pay Frequency	Mgmt	Three Years	One Year	One Year
6	Report on Lobbying Payments and Policy	SH	Against	For	For
7	Publish Congruency Report on Partnerships with Globalist Organizations and Company Fiduciary Duties	SH	Against	Against	Against
8	Report on Framework to Assess Company Lobbying Alignment with Climate Goals	SH	Against	For	For
9	Report on Risks Related to Fulfilling Information Requests for Enforcing Laws Criminalizing Abortion Access	SH	Against	Against	Against
10	Report on Risks of Doing Business in Countries with Significant Human Rights Concerns	SH	Against	For	For
11	Publish Independent Human Rights Impact Assessment of Targeted Advertising Technology	SH	Against	For	For
12	Disclose More Quantitative and Qualitative Information on Algorithmic Systems	SH	Against	For	For
13	Report on Alignment of YouTube Policies With Online Safety Regulations	SH	Against	For	For
14	Report on Content Governance and Censorship	SH	Against	Against	Against

## Alphabet Inc.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
15	Commission Independent Assessment of Effectiveness of Audit and Compliance Committee	SH	Against	Against	Against
16	Amend Bylaws to Require Shareholder Approval of Certain Provisions Related to Director Nominations by Shareholders	SH	Against	Against	Against
17	Adopt Share Retention Policy For Senior Executives	SH	Against	For	For
18	Approve Recapitalization Plan for all Stock to Have One-vote per Share	SH	Against	For	For

## Beam Therapeutics Inc.

**Meeting Date:** 06/06/2023      **Country:** USA      **Ticker:** BEAM  
**Record Date:** 04/10/2023      **Meeting Type:** Annual  
**Primary Security ID:** 07373V105

Shares Voted: 1,392

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1a	Elect Director John Evans	Mgmt	For	For	For
1b	Elect Director John Maraganore	Mgmt	For	Against	Against
2	Ratify Deloitte & Touche LLP as Auditors	Mgmt	For	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For	For

## QuantumScape Corporation

**Meeting Date:** 06/07/2023      **Country:** USA      **Ticker:** QS  
**Record Date:** 04/13/2023      **Meeting Type:** Annual  
**Primary Security ID:** 74767V109

Shares Voted: 7,141

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1.1	Elect Director Jagdeep Singh	Mgmt	For	For	For
1.2	Elect Director Frank Blome	Mgmt	For	For	For
1.3	Elect Director Brad Buss	Mgmt	For	For	For
1.4	Elect Director Jeneanne Hanley	Mgmt	For	For	For
1.5	Elect Director Susan Huppertz	Mgmt	For	For	For
1.6	Elect Director Jurgen Leohold	Mgmt	For	Withhold	Withhold
1.7	Elect Director Gena Lovett	Mgmt	For	Withhold	Withhold

## QuantumScape Corporation

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1.8	Elect Director Fritz Prinz	Mgmt	For	For	For
1.9	Elect Director Dipender Saluja	Mgmt	For	Withhold	Withhold
1.10	Elect Director JB Straubel	Mgmt	For	For	For
1.11	Elect Director Jens Wiese	Mgmt	For	Withhold	Withhold
2	Ratify Ernst & Young LLP as Auditors	Mgmt	For	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	Against	Against

## CRISPR Therapeutics AG

**Meeting Date:** 06/08/2023

**Country:** Switzerland

**Ticker:** CRSP

**Record Date:** 04/17/2023

**Meeting Type:** Annual

**Primary Security ID:** H17182108

**Shares Voted:** 1,202

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Accept Consolidated Financial Statements and Statutory Reports	Mgmt	For	For	For
2	Approve Treatment of Net Loss	Mgmt	For	For	For
3	Approve Discharge of Board and Senior Management	Mgmt	For	For	For
4a	Reelect Rodger Novak as Director	Mgmt	For	For	For
4b	Reelect Samarth Kulkarni as Director	Mgmt	For	For	For
4c	Reelect Ali Behbahani as Director	Mgmt	For	Against	Against
4d	Reelect Maria Fardis as Director	Mgmt	For	For	For
4e	Reelect H. Edward Fleming, Jr. as Director	Mgmt	For	For	For
4f	Reelect Simeon J. George as Director	Mgmt	For	For	For
4g	Reelect John T. Greene as Director	Mgmt	For	For	For
4h	Reelect Katherine A. High as Director	Mgmt	For	For	For
4i	Reelect Douglas A. Treco as Director	Mgmt	For	For	For
5a	Reelect Ali Behbahani as Member of the Compensation Committee	Mgmt	For	Against	Against
5b	Appoint H. Edward Fleming, Jr. as Member of the Compensation Committee	Mgmt	For	For	For
5c	Reelect Simeon J. George as Member of the Compensation Committee	Mgmt	For	For	For
5d	Reelect John T. Greene as Member of the Compensation Committee	Mgmt	For	For	For
6a	Approve Remuneration of Directors in the Amount of USD 507,000	Mgmt	For	For	For

## CRISPR Therapeutics AG

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
6b	Approve Remuneration of Directors in the Amount of USD 11,738,100	Mgmt	For	Against	Against
6c	Approve Remuneration of Executive Committee in the Amount of USD 3,700,579	Mgmt	For	Against	Against
6d	Approve Remuneration of Executive Committee in the Amount of USD 3,195,625	Mgmt	For	Against	Against
6e	Approve Remuneration of Executive Committee in the Amount of USD 55,827,593	Mgmt	For	Against	Against
6f	Approve Remuneration Report (Non-Binding)	Mgmt	For	Against	Against
7	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	Against	Against
8	Approve Capital Band	Mgmt	For	For	For
9	Approve Increase in Conditional Share Capital for Employee Equity Plans	Mgmt	For	Against	Against
10	Amend Omnibus Stock Plan	Mgmt	For	Against	Against
11	Approve Decrease in Size of Board	Mgmt	For	For	For
12a	Amend Corporate Purpose	Mgmt	For	For	For
12b	Approve General Meeting Abroad and Virtual General Meeting	Mgmt	For	For	For
12c	Adopt the Jurisdiction of Incorporation as the Exclusive Forum for Certain Disputes	Mgmt	For	Against	Against
12d	Amend Articles of Association	Mgmt	For	For	For
12e	Amend Articles of Association	Mgmt	For	For	For
13	Designate Marius Meier as Independent Proxy	Mgmt	For	For	For
14	Ratify Ernst & Young LLP as Auditor and Ernst & Young AG as Statutory Auditor	Mgmt	For	For	For
15	Transact Other Business (Voting)	Mgmt	For	Against	Against

## Salesforce, Inc.

**Meeting Date:** 06/08/2023      **Country:** USA      **Ticker:** CRM  
**Record Date:** 04/14/2023      **Meeting Type:** Annual  
**Primary Security ID:** 79466L302

Shares Voted: 401

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1a	Elect Director Marc Benioff	Mgmt	For	For	For
1b	Elect Director Laura Alber	Mgmt	For	For	For

## Salesforce, Inc.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1c	Elect Director Craig Conway	Mgmt	For	For	For
1d	Elect Director Arnold Donald	Mgmt	For	For	For
1e	Elect Director Parker Harris	Mgmt	For	For	For
1f	Elect Director Neelie Kroes	Mgmt	For	For	For
1g	Elect Director Sachin Mehra	Mgmt	For	For	For
1h	Elect Director G. Mason Morfit	Mgmt	For	For	For
1i	Elect Director Oscar Munoz	Mgmt	For	For	For
1j	Elect Director John V. Roos	Mgmt	For	For	For
1k	Elect Director Robin Washington	Mgmt	For	For	For
1l	Elect Director Maynard Webb	Mgmt	For	For	For
1m	Elect Director Susan Wojcicki	Mgmt	For	For	For
2	Amend Omnibus Stock Plan	Mgmt	For	For	For
3	Ratify Ernst & Young LLP as Auditors	Mgmt	For	For	For
4	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For	For
5	Advisory Vote on Say on Pay Frequency	Mgmt	One Year	One Year	One Year
6	Require Independent Board Chair	SH	Against	Against	Against
7	Adopt Policy Prohibiting Directors from Simultaneously Sitting on the Board of Directors of Any Other Company	SH	Against	Against	Against

## Sarepta Therapeutics, Inc.

**Meeting Date:** 06/08/2023

**Country:** USA

**Ticker:** SRPT

**Record Date:** 04/14/2023

**Meeting Type:** Annual

**Primary Security ID:** 803607100

**Shares Voted:** 477

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1.1	Elect Director Richard J. Barry	Mgmt	For	For	For
1.2	Elect Director M. Kathleen Behrens	Mgmt	For	For	For
1.3	Elect Director Stephen L. Mayo	Mgmt	For	For	For
1.4	Elect Director Claude Nicaise	Mgmt	For	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	Against	Against
3	Amend Omnibus Stock Plan	Mgmt	For	For	For
4	Amend Qualified Employee Stock Purchase Plan	Mgmt	For	For	For



## Sarepta Therapeutics, Inc.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
5	Advisory Vote on Say on Pay Frequency	Mgmt	One Year	One Year	One Year
6	Ratify KPMG LLP as Auditors	Mgmt	For	For	For

## Intellia Therapeutics, Inc.

**Meeting Date:** 06/14/2023      **Country:** USA      **Ticker:** NTLA  
**Record Date:** 04/17/2023      **Meeting Type:** Annual  
**Primary Security ID:** 45826J105

Shares Voted: 1,598

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1.1	Elect Director Muna Bhanji	Mgmt	For	Withhold	Withhold
1.2	Elect Director John F. Crowley	Mgmt	For	Withhold	Withhold
1.3	Elect Director Jesse Goodman	Mgmt	For	Withhold	Withhold
2	Ratify Deloitte & Touche LLP as Auditors	Mgmt	For	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For	For
4	Increase Authorized Common Stock	Mgmt	For	For	For

## GameStop Corp.

**Meeting Date:** 06/15/2023      **Country:** USA      **Ticker:** GME  
**Record Date:** 04/21/2023      **Meeting Type:** Annual  
**Primary Security ID:** 36467W109

Shares Voted: 2,926

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1.1	Elect Director Matthew (Matt) Furlong	Mgmt	For	For	For
1.2	Elect Director Alain (Alan) Attal	Mgmt	For	For	For
1.3	Elect Director Lawrence (Larry) Cheng	Mgmt	For	For	For
1.4	Elect Director Ryan Cohen	Mgmt	For	For	For
1.5	Elect Director James (Jim) Grube	Mgmt	For	For	For
1.6	Elect Director Yang Xu	Mgmt	For	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For	For
3	Advisory Vote on Say on Pay Frequency	Mgmt	One Year	One Year	One Year
4	Ratify Deloitte & Touche LLP as Auditors	Mgmt	For	For	For

## NetEase, Inc.

Meeting Date: 06/15/2023

Country: Cayman Islands

Ticker: 9999

Record Date: 05/16/2023

Meeting Type: Annual

Primary Security ID: G6427A102

Shares Voted: 768

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
	Meeting for ADR Holders	Mgmt			
1a	Elect William Lei Ding as Director	Mgmt	For	For	For
1b	Elect Grace Hui Tang as Director	Mgmt	For	For	For
1c	Elect Alice Yu-Fen Cheng as Director	Mgmt	For	For	For
1d	Elect Joseph Tze Kay Tong as Director	Mgmt	For	For	For
1e	Elect Michael Man Kit Leung as Director	Mgmt	For	Against	Against
2	Ratify Appointment of PricewaterhouseCoopers Zhong Tian LLP and PricewaterhouseCoopers as Auditors	Mgmt	For	For	For
3	Amend Company's Amended and Restated Memorandum and Articles of Association	Mgmt	For	For	For
4	Approve Amended and Restated 2019 Share Incentive Plan	Mgmt	For	Against	Against

## Fortinet, Inc.

Meeting Date: 06/16/2023

Country: USA

Ticker: FTNT

Record Date: 04/17/2023

Meeting Type: Annual

Primary Security ID: 34959E109

Shares Voted: 1,232

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1.1	Elect Director Ken Xie	Mgmt	For	For	For
1.2	Elect Director Michael Xie	Mgmt	For	For	For
1.3	Elect Director Kenneth A. Goldman	Mgmt	For	For	For
1.4	Elect Director Ming Hsieh	Mgmt	For	For	For
1.5	Elect Director Jean Hu	Mgmt	For	For	For
1.6	Elect Director William H. Neukom	Mgmt	For	For	For
1.7	Elect Director Judith Sim	Mgmt	For	For	For
1.8	Elect Director Admiral James Stavridis (Ret)	Mgmt	For	For	For
2	Ratify Deloitte & Touche LLP as Auditor	Mgmt	For	For	For

## Fortinet, Inc.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
3	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For	For
4	Advisory Vote on Say on Pay Frequency	Mgmt	One Year	One Year	One Year
5	Eliminate Supermajority Vote Requirement	Mgmt	For	For	For
6	Amend Certificate of Incorporation to Limit the Liability of Officers	Mgmt	For	For	For

## Capcom Co., Ltd.

**Meeting Date:** 06/20/2023      **Country:** Japan      **Ticker:** 9697  
**Record Date:** 03/31/2023      **Meeting Type:** Annual  
**Primary Security ID:** J05187109

Shares Voted: 1,924

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Approve Allocation of Income, with a Final Dividend of JPY 40	Mgmt	For	For	For
2.1	Elect Director Tsujimoto, Kenzo	Mgmt	For	For	For
2.2	Elect Director Tsujimoto, Haruhiro	Mgmt	For	For	For
2.3	Elect Director Miyazaki, Satoshi	Mgmt	For	For	For
2.4	Elect Director Egawa, Yoichi	Mgmt	For	For	For
2.5	Elect Director Nomura, Kenkichi	Mgmt	For	For	For
2.6	Elect Director Ishida, Yoshinori	Mgmt	For	For	For
2.7	Elect Director Tsujimoto, Ryozo	Mgmt	For	For	For
2.8	Elect Director Muranaka, Toru	Mgmt	For	For	For
2.9	Elect Director Mizukoshi, Yutaka	Mgmt	For	For	For
2.10	Elect Director Kotani, Wataru	Mgmt	For	For	For
2.11	Elect Director Muto, Toshiro	Mgmt	For	For	For
2.12	Elect Director Hirose, Yumi	Mgmt	For	For	For

## Sony Group Corp.

**Meeting Date:** 06/20/2023      **Country:** Japan      **Ticker:** 6758  
**Record Date:** 03/30/2023      **Meeting Type:** Annual  
**Primary Security ID:** J76379106

## Sony Group Corp.

Shares Voted: 702

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
	Meeting for ADR Holders	Mgmt			
1.1	Elect Director Yoshida, Kenichiro	Mgmt	For	For	For
1.2	Elect Director Totoki, Hiroki	Mgmt	For	For	For
1.3	Elect Director Hatanaka, Yoshihiko	Mgmt	For	For	For
1.4	Elect Director Oka, Toshiko	Mgmt	For	For	For
1.5	Elect Director Akiyama, Sakie	Mgmt	For	For	For
1.6	Elect Director Wendy Becker	Mgmt	For	For	For
1.7	Elect Director Kishigami, Keiko	Mgmt	For	For	For
1.8	Elect Director Joseph A. Kraft Jr	Mgmt	For	For	For
1.9	Elect Director Neil Hunt	Mgmt	For	For	For
1.10	Elect Director William Morrow	Mgmt	For	For	For
2	Approve Stock Option Plan	Mgmt	For	For	For

## Activision Blizzard, Inc.

Meeting Date: 06/21/2023

Country: USA

Ticker: ATVI

Record Date: 04/25/2023

Meeting Type: Annual

Primary Security ID: 00507V109

Shares Voted: 791

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1a	Elect Director Reveta Bowers	Mgmt	For	For	For
1b	Elect Director Kerry Carr	Mgmt	For	For	For
1c	Elect Director Robert Corti	Mgmt	For	For	For
1d	Elect Director Brian Kelly	Mgmt	For	For	For
1e	Elect Director Robert Kotick	Mgmt	For	For	For
1f	Elect Director Barry Meyer	Mgmt	For	For	For
1g	Elect Director Robert Morgado	Mgmt	For	For	For
1h	Elect Director Peter Nolan	Mgmt	For	For	For
1i	Elect Director Dawn Ostroff	Mgmt	For	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For	For
3	Advisory Vote on Say on Pay Frequency	Mgmt	One Year	One Year	One Year
4	Ratify PricewaterhouseCoopers LLP as Auditors	Mgmt	For	For	For

## Activision Blizzard, Inc.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
5	Submit Severance Agreement (Change-in-Control) to Shareholder Vote	SH	Against	For	For
6	Adopt Policy on Freedom of Association and Collective Bargaining	SH	Against	For	For
7	Report on Prevention of Harassment and Discrimination in the Workplace - Withdrawn	SH			

## NVIDIA Corporation

**Meeting Date:** 06/22/2023      **Country:** USA      **Ticker:** NVDA  
**Record Date:** 04/24/2023      **Meeting Type:** Annual  
**Primary Security ID:** 67066G104

Shares Voted: 355

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1a	Elect Director Robert K. Burgess	Mgmt	For	For	For
1b	Elect Director Tench Coxé	Mgmt	For	For	For
1c	Elect Director John O. Dabiri	Mgmt	For	For	For
1d	Elect Director Persis S. Drell	Mgmt	For	For	For
1e	Elect Director Jen-Hsun Huang	Mgmt	For	For	For
1f	Elect Director Dawn Hudson	Mgmt	For	For	For
1g	Elect Director Harvey C. Jones	Mgmt	For	For	For
1h	Elect Director Michael G. McCaffery	Mgmt	For	For	For
1i	Elect Director Stephen C. Neal	Mgmt	For	For	For
1j	Elect Director Mark L. Perry	Mgmt	For	For	For
1k	Elect Director A. Brooke Seawell	Mgmt	For	For	For
1l	Elect Director Aarti Shah	Mgmt	For	For	For
1m	Elect Director Mark A. Stevens	Mgmt	For	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For	For
3	Advisory Vote on Say on Pay Frequency	Mgmt	One Year	One Year	One Year
4	Ratify PricewaterhouseCoopers LLP as Auditors	Mgmt	For	For	For

## Panasonic Holdings Corp.

**Meeting Date:** 06/26/2023      **Country:** Japan      **Ticker:** 6752  
**Record Date:** 03/31/2023      **Meeting Type:** Annual  
**Primary Security ID:** J6354Y104

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1.1	Elect Director Tsuga, Kazuhiro	Mgmt	For	For	For
1.2	Elect Director Kusumi, Yuki	Mgmt	For	For	For
1.3	Elect Director Homma, Tetsuro	Mgmt	For	For	For
1.4	Elect Director Sato, Mototsugu	Mgmt	For	For	For
1.5	Elect Director Umeda, Hirokazu	Mgmt	For	For	For
1.6	Elect Director Matsui, Shinobu	Mgmt	For	For	For
1.7	Elect Director Noji, Kunio	Mgmt	For	For	For
1.8	Elect Director Sawada, Michitaka	Mgmt	For	For	For
1.9	Elect Director Toyama, Kazuhiko	Mgmt	For	For	For
1.10	Elect Director Tsutsui, Yoshinobu	Mgmt	For	For	For
1.11	Elect Director Miyabe, Yoshiyuki	Mgmt	For	For	For
1.12	Elect Director Shotoku, Ayako	Mgmt	For	For	For
1.13	Elect Director Nishiyama, Keita	Mgmt	For	For	For
2	Appoint Statutory Auditor Baba, Hidetoshi	Mgmt	For	For	For
3	Approve Restricted Stock Plan	Mgmt	For	For	For
4	Approve Compensation Ceiling for Statutory Auditors	Mgmt	For	For	For